



# Probiotics: Properties, Examples, and Specific Applications

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Probiotics are beneficial components of the microbiota that have been used for centuries because of the health benefits they confer to the host. Only recently, however, has the contribution of probiotics to modulation of immunological, respiratory, and gastrointestinal functions started to be fully appreciated and scientifically evaluated. Probiotics such as *Escherichia coli* Nissle 1917 and lactic acid bacteria are currently used to, or have been evaluated for use to, prevent or treat a range of intestinal maladies including inflammatory bowel disease, constipation, and colon cancer. Engineering these natural probiotics to produce immunomodulatory molecules may help to further increase the benefit to the host. In this article, we will discuss some of the mechanisms of action of probiotics as well as advances in the rational design of probiotics.

Probiotics are defined as living bacteria that, when administered in adequate amounts, confer a health benefit on the host (FAO/WHO 2001). The original observation of the beneficial properties conferred by some bacteria is attributed to the Nobel Prize winner Eli Metchnikoff, who is regarded as the grandfather of modern probiotics. In the early 20th century, Metchnikoff discovered that “healthy bacteria,” especially lactic acid bacteria (LAB), can have a positive influence on digestion and the immune system (Anukam and Reid 2008). Most microorganisms recognized to date as probiotics are Gram-positive, with *Lactobacillus* and *Bifidobacterium* being the main species used as treatments of intestinal dysfunctions (Marco et al. 2006). However, some Gram-negatives are also used as probiotics. The best example of this

group is *Escherichia coli* Nissle 1917 (EcN) (Nissle 1959), also known as “Mutaflor,” which has been used in Germany for many years in the treatment of chronic constipation (Mollenbrink and Bruckschen 1994) and colitis (Schutz 1989).

In the last century, many studies have reported probiotic bacteria to play important roles in the modulation of immunological, respiratory, and gastrointestinal functions (Floch et al. 2011). Furthermore, probiotics have been shown to play a protective role by directly competing with intestinal pathogens through the release of antibacterial substances such as bacteriocins (Cotter et al. 2005) or metabolites such as acetic acid and lactic acid (Servin 2004). Although most studies on probiotics have been empirical, new advancements may originate from research on the interactions between com-

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mensal microorganisms (termed the microbiota), pathogens, and the host. Understanding the mechanisms of gut colonization in both normal and inflammatory conditions is essential to designing probiotics for a specific use. In this article, we will discuss some of the recent developments on the mechanisms of action of probiotics and their utilization for delivering molecules to specific sites within the host.

### THE HUMAN DIGESTIVE TRACT AND THE MICROBIOTA

The human digestive tract comprises a variety of ecological niches populated by several bacterial species that have established a symbiotic relationship with the host. This bacterial population, also called the intestinal microbiota, plays an important role in the development of the gut immune system, digestion of food, production of short-chain fatty acids and essential vitamins, and resistance to colonization from pathogenic microorganisms (Hooper and Gordon 2001). The human gut microbiome consists of many different species of bacteria, some of which are nonculturable and therefore not well known or characterized. Indeed, it has only been through the advent of deep sequencing, genomics, and metagenomics in the last decade that the complexity of the microbiota has been fully appreciated.

The distribution of the intestinal microbiota varies along three main locations in the digestive tract: (1) the stomach, populated by  $<10^2$  colony-forming units (cfu)/ml, including lactobacilli and streptococci; (2) the ileum and distal ileum, populated by  $10^2$ – $10^3$  cfu/mL of bacteria, including *E. coli*, *Klebsiella*, *Enterococcus*, and *Bacteroides*; and (3) the large intestine, which constitutes the largest microbial population of the body, with  $10^{10}$ – $10^{12}$  cfu/mL (DiBaise et al. 2008). Remarkably, each individual organism presents a specific “bacterial fingerprint,” which is influenced by a variety of factors including the maternal environment, host genotype, diet, and antibiotic treatment (Spor et al. 2011). But even though the composition of the microbiota differs from person to person, it clusters in three distinct groups, so-

called enterotypes. These human enterotypes are enriched in *Bacteroides*, *Prevotella*, or *Ruminococcus* and use different routes to generate energy from fermentable substrates available in the colon: *Bacteroides* uses carbohydrates; *Prevotella*, mucins; and *Ruminococcus*, mucins and sugars (Arumugam et al. 2011). Enterotypes have also been associated with long-term diets (Wu et al. 2011). Despite this heterogeneity, Firmicutes and Bacteroidetes are the most common intestinal phyla across all vertebrates, representing  $>90\%$  of the microbiota, followed by Actinobacteria and Proteobacteria (Mahowald et al. 2009). Members of the microbiota from phylum Bacteroidetes are represented by a variety of species, including *Bacteroides fragilis*, which was recently shown to possess immunomodulatory capabilities via its polysaccharide capsule (Cobb et al. 2004; Coyne et al. 2005; Mazmanian et al. 2005; Liu et al. 2008). In contrast, phylum Firmicutes is mainly represented by species belonging to class Clostridia, which are known for their abilities to metabolize fiber and produce butyrate, a short-chain fatty acid with immunomodulatory activity (Gophna et al. 2006; Vinolo et al. 2011). Bacteria belonging to class Bacilli, including *Lactobacillus acidophilus* and *Enterococcus faecalis*, constitute the rest of the Firmicutes phylum.

The advent of germ-free mice gave rise to a better understanding of the impact the intestinal microbiota has on the host. Studies have shown that these mice exhibit a thin intestinal epithelium (Jervis and Biggers 1964), loss of short-chain fatty acid production (Høverstad and Midtvedt 1986), and alterations to the immune system (Maslowski et al. 2009; Tlaskalova-Hogenova et al. 2011). Remarkably, administration of the microbiota restores full functionality of the gut (Aureli et al. 2011). Moreover, some components of the microbiota have been shown to induce the development of T-cell subsets (Ivanov et al. 2009; Feng et al. 2010; Atarashi et al. 2011; Shaw et al. 2012) and the release of defensins (Bevins and Salzman 2011). Another notable aspect is that in normal individuals, the host establishes tolerance to commensal bacteria while maintaining its capacity to mount an immune response

against pathogens. This balance between tolerance and immunity, when disrupted, can lead to the development of a number of intestinal pathologies including inflammatory bowel disease (IBD) and intestinal cancer (Karin et al. 2006; Artis 2008). Components of the immune response that normally orchestrate the mucosal barrier to the microbiota can, if altered, also contribute to the development of IBD. For example, an inflammatory response mounted against the microbiota can lead to a change in the host environment that in turn affects the composition and quantity of the microbiota, which further exacerbates intestinal inflammation (Fava and Danese 2011). Intestinal inflammation results in dramatic alterations to the microbiota, with loss of the most abundant species (Bacteroidetes and Clostridiales) and enhanced growth of Enterobacteriaceae (Fava and Danese 2011; Mukhopadhyaya et al. 2012). Similarly, in many cases of self-limiting gastrointestinal infections, antibiotic treatment is not recommended because of its limited efficacy and notable side effects, including alterations to the microbiota that can result in antibiotic-associated colitis (Hogenauer et al. 1998).

In light of these observations, it becomes apparent that restoring balance to or augmenting the microbiota can potentially provide beneficial resolution of a variety of diseases. Moreover, as we discuss later, probiotics can be engineered to better mitigate the conditions arising from a particular intestinal pathology by cytokine and enzyme delivery or through direct competition with pathogenic microorganisms.

### THE BEST-CHARACTERIZED PROBIOTICS

Many probiotics are culturable components of the microbiota that have been used for their beneficial functions since long before the term “probiotic” was coined. The most commonly used probiotic strains include the lactic acid bacteria (LAB), Gram-positive microbes that have been used for centuries in food production processes (yogurt, cheese, pickles). Members of the LAB such as *Lactococcus* and *Streptococcus* are also important components of the endogenous microbiota in the human ileum and jeju-

num and, at more moderate densities, in the colon (Hayashi et al. 2005). The approval for use in food combined with the absence of lipopolysaccharides (LPS) and lack of secreted proteases make many LAB ideal for use as probiotics. Similarly, as these organisms are Gram-positive, recombinant proteins can be secreted without getting trapped in the periplasm, making LAB species attractive vehicles for food-grade production of proteins and enzymes (Le Loir et al. 2005; Mierau and Kleerebezem 2005; Peterbauer et al. 2011).

The most widely used LAB species for cytokine delivery is *Lactococcus lactis*. This bacterium, unlike other *Lactococcus* species, is only transiently present in the human gut and is hence classified as a noncommensal (Nouaille et al. 2003). This transient colonization provides an advantage for the utilization of this probiotic strain as a delivery vehicle for protein vaccines and even, more recently, DNA vaccines. In these cases, a sustained colonization with a strain would be a disadvantage, as it may lead to overstimulation of the targeted pathway. The possibility to deliver proteins directly to the mucosa opens up new methods of therapeutic treatment in which traditional routes of medication fail as a result of, for example, low local availability of the therapeutic substance.

Regarding Gram-negative probiotics, the most commonly used strain is *Escherichia coli* Nissle 1917 (EcN). The army surgeon Alfred Nissle originally isolated this strain in 1917 from the feces of a soldier during the First World War who, in contrast to his comrades, did not develop infectious diarrhea during an outbreak of the highly contagious organism *Shigella*. Nissle’s observation suggested that EcN might provide colonization resistance to mucosal pathogens. Consistent with this hypothesis, the probiotic effect and biosafety of EcN has since been extensively shown in numerous trials and underlined by its long medical history in Central Europe as a microbial remedy (Kruis et al. 1997; Westendorf et al. 2005; Henker et al. 2007). At present, EcN is contained in a drug called Mutaflor, which is used for the treatment of both infectious diarrheal diseases and IBD (Schutz 1989). Furthermore, EcN has been ad-

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ministered to neonates to prevent the colonization of their digestive tract by multidrug-resistant pathogens (Lodanova-Zadnikova and Sonnenborn 1997; Boudeau et al. 2003; Kruis et al. 2004; Grabig et al. 2006; Henker et al. 2007).

### LAB AND THEIR ROLE IN DELIVERING CYTOKINES AND OTHER MOLECULES TO RECONSTITUTE BARRIER DEFECTS

One of the recent advances in probiotics research involves the use of LAB to deliver cytokines directly to target sites within a host. LAB-delivered cytokines can be applied to treat diseases that weaken the mucosal barrier, such as IBD subtypes Crohn's disease and ulcerative colitis. IBD is a major health concern in the Western world and manifests as chronic inflammation of the intestine that results in diarrhea, abdominal pain, and weight loss (Steidler et al. 2000). Although the etiology of IBD is unknown, it is clear that both genetic alterations in host pattern recognition receptors and pro-inflammatory genes as well as the microbiota play a role in causing the sustained intestinal inflammation seen in IBD patients (Nagalingam and Lynch 2012). Therefore, treatments aimed at reducing intestinal inflammation in these patients are highly desirable.

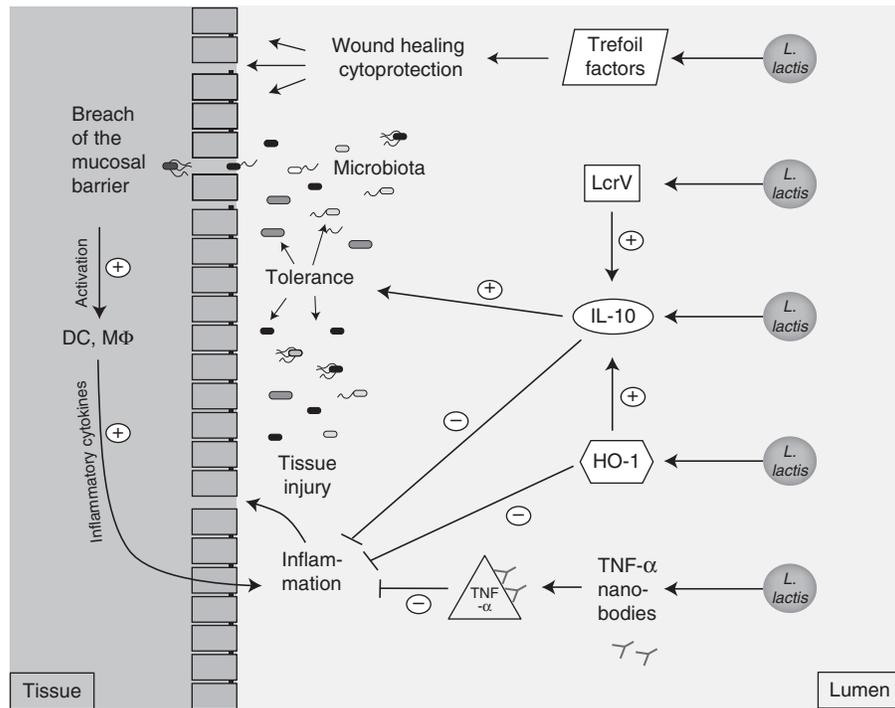
One way to reduce the chronic inflammation of IBD patients is through the administration of anti-inflammatory cytokines such as interleukin 10 (IL-10). This cytokine plays a central role in down-regulating inflammatory cascades and in the establishment of tolerance in the mucosa (Huibregtse et al. 2011). However, clinical trials of subcutaneous administration of IL-10 were disappointing because of the low efficacy and side effects (van Deventer et al. 1997; Colombel et al. 2001; Tilg et al. 2002). Owing to the acid sensitivity of IL-10, direct oral administration was not deemed a viable option. Instead, in an attempt to use the oral route of administration but protect IL-10 from degradation, Schotte et al. (2000) engineered an IL-10-producing *L. lactis* strain (*LL-mIL10*). They subsequently showed in a landmark study in 2000 that murine colitis significantly improved following treatment with this

IL-10-producing strain (Fig. 1) (Steidler et al. 2000). Furthermore, the onset of colitis was inhibited in IL-10-deficient mice and the amount of IL-10 needed for the observed reduction in colitis was several orders of magnitudes lower than what was needed to reduce it by systemic administration (Steidler et al. 2000). Thus, delivery to the mucosa via LAB was shown to be a key element in the effectiveness of an IL-10-based treatment.

A major issue to be resolved for clinical probiotic applications is the biological containment of genetically modified organisms. To achieve this in *L. lactis*, the thymidylate synthase gene (*thyA*) was replaced by the human IL-10 gene. Mutants in *thyA* require the presence of thymidine or thymine in the media to replicate, and therefore their growth is restricted to the human body and the accumulation of the strain in the environment is prevented (Steidler et al. 2003). The IL-10-producing *L. lactis* strain has since been administered to 10 Crohn's disease patients during a phase 1 clinical trial. Out of 10 patients, eight had a clinical benefit and five went into complete clinical remission (Braat et al. 2006). These exciting findings have paved the way for subsequent clinical trials (Steidler et al. 2009).

Given the anti-inflammatory properties of IL-10, other strategies have been used to induce secretion of this cytokine in the gut mucosa. One such strategy involved a protein from a pathogen. Pathogenic yersiniae produce an anti-inflammatory protein called LcrV, which mediates evasion of the host's immune response by enhancing IL-10 production (Fig. 1) (Foligne et al. 2007; Depaolo et al. 2008). Remarkably, an *L. lactis* strain producing LcrV was able to reduce inflammation in a trinitrobenzene sulfonic acid mouse model of colitis as efficiently as an *L. lactis* strain secreting IL-10 (Foligne et al. 2007).

Opposite to IL-10 on the cytokine spectrum is tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), a cytokine that mediates many of the clinical symptoms of IBD. Although systemic administration of anti-TNF- $\alpha$  antibodies has become an established therapy for Crohn's disease and ulcerative colitis, it has drawbacks, similar to those seen with the systemic administration of IL-10. The



**Figure 1.** *Lactococcus lactis*. The probiotic *L. lactis* was engineered to produce different cytokines: interleukin 10 (IL-10), heme oxygenase 1 (HO-1), the *Yersinia* protein LcrV, TNF- $\alpha$  nanobodies, or trefoil factors. After oral administration, *L. lactis* secretes these proteins in direct proximity to mucosal surfaces. These proteins can modulate the immune system in different ways to dampen the immune response and establish tolerance to the microbiota in the case of mucosal injury during IBD or cancer treatment.

therapy is costly and has poor patient compliance and several adverse side effects (Vandenbroucke et al. 2010). It was believed that oral administration and local production of the antibody at the site of inflammation in the gut could alleviate these problems and partially restore normal mucosal function. Thus, *L. lactis* was engineered to produce anti-TNF- $\alpha$  nanobodies (Fig. 1), small and stable single-domain antibody fragments that were derived from heavy-chain camelid antibodies. As expected, daily administration of *L. lactis* producing these nanobodies reduced colonic inflammation in both dextran sodium sulfate (DSS)-treated and IL-10-deficient mice. Remarkably, the effect of the anti-TNF- $\alpha$  nanobodies produced by *L. lactis* was restricted to the gut and did not interfere with systemic function of TNF- $\alpha$  (Vandenbroucke et al. 2010). Therefore, LAB-mediated delivery of IL-10 and anti-TNF- $\alpha$  antibod-

ies appears to be more beneficial than systemic delivery to control mucosal inflammation.

Another host factor that improves the integrity of the gut mucosal barrier is the enzyme heme oxygenase 1 (HO-1), which provides protection against oxidative stress and has anti-inflammatory and other immunomodulatory functions (Fig. 1) (Vijayan et al. 2010). Notably, an *L. lactis* strain secreting this enzyme proved to be effective in reducing morbidity and mortality in a model of LPS-induced mucosal injury (Pang et al. 2008) and in a model of hemorrhagic shock (Pang et al. 2009) in rats. Possible mechanisms for the protective effects in the gut mucosa of the *L. lactis* strain secreting HO-1 are the observed reductions in TNF- $\alpha$  and myeloperoxidase levels accompanied by increased IL-10 production.

Other molecules that have a broad protective effect on the mucosa are peptides of the



trefoil factor family (TFF): Human TFF-1 and -2 are produced by mucus-producing cells in the stomach and duodenum, whereas TFF-3 is highly expressed in goblet cells in the small and large intestine (Kjellev 2009). TFFs are cytoprotective and promote epithelial wound healing and reconstitution of the gastrointestinal tract (Vandenbroucke et al. 2004) and are therefore excellent candidates for mucosa restoration (Fig. 1). However, one major drawback to the use of trefoil factors as therapeutic agents is that they do not reach the colon when administered orally. Because TFFs bind to mucus and are absorbed in the cecum, intrarectal administration has proven effective (Tran et al. 1999; Vandenbroucke et al. 2004). Intragastric administration of TFF-secreting *L. lactis* to mice has also been proven to be effective in the prevention and healing of acute DSS-induced colitis and chronic colitis in IL-10-deficient mice (Vandenbroucke et al. 2004).

Because TFF-1 and -3 are also secreted by human salivary glands and thus present in saliva, an *L. lactis* strain secreting TFF-1 was recently formulated into a mouthwash for treatment of oral mucositis, a very common and painful complication of radio- or chemotherapy in cancer patients (Caluwaerts et al. 2010). Cytotoxic anticancer drugs that affect fast-growing cancer cells also affect mucosal cells with their rapid mitotic rate, leading to atrophy, swelling, erythema, and ulceration (Raber-Durlacher et al. 2010). The TFF-1-secreting *L. lactis* strain was highly efficacious in alleviating oral mucositis in a hamster model (Caluwaerts et al. 2010) and in patients in a phase 1b clinical trial, leading to a clinical phase 2/3 trial to begin in 2013 (<http://www.actogenix.com>).

Taken together, these studies underline the potential of using probiotics to deliver molecules directly at the target site in order to restore the mucosal barrier function without interfering with systemic immunity.

### THE PROBIOTIC *E. coli* NISSLE 1917

Although engineering Gram-positive probiotic strains like LAB to deliver molecules of interest has been a relatively uncomplicated affair be-

cause of the comparatively simple nature of their cell walls, Gram-negative probiotics like EcN have also been engineered to secrete molecules (Rao et al. 2005; Choi et al. 2012). In an attempt to block infection with the human immunodeficiency virus (HIV), EcN was engineered to secrete a hybrid peptide comprising the HIV protein gp41 (which catalyzes receptor-mediated membrane fusion) and the EcN hemolysin A (Hly), which allows direct export from the EcN cytoplasm into the extracellular medium. Remarkably, the secreted peptide inhibited HIV fusion and entry into the host cells. Moreover, the engineered EcN colonized mice for weeks to months, indicating that secretion of microbicides by this commensal may be a way to prevent HIV entry (Rao et al. 2005). In this scenario, the persistent colonization of EcN constitutes an advantage over LAB, which only transiently colonize the gut mucosa. In another recent study, EcN was engineered to deliver epidermal growth factor as a means to enhance wound healing (Choi et al. 2012). Therefore, although LAB have been the probiotics of choice for targeted delivery of molecules, EcN may provide distinct advantages when persistent colonization is desirable. For this reason, understanding the mechanisms by which EcN colonizes and persists in the gut is critical to enhancing its efficacy and potentially developing other Gram-negative bacteria for use as probiotics.

Since its serendipitous discovery, EcN has been widely used to shorten the duration of diarrhea in children and to alleviate intestinal inflammation in patients with IBD, and in particular ulcerative colitis. Although the molecular mechanisms by which EcN exerts its beneficial effects are largely unknown (Schultz and Lindstrom 2008), several studies have tried to understand what makes EcN a probiotic. Because EcN administration alleviates gastrointestinal tract inflammatory disorders and is highly protective against pathogenic bacteria and fungi including *Listeria monocytogenes*, *Candida albicans*, and *Salmonella enterica* serovar Typhimurium (Hockertz 1991, 1997; Mandel et al. 1995), one might expect that this probiotic strain would down-regulate inflammation. However, there is strong evidence that this

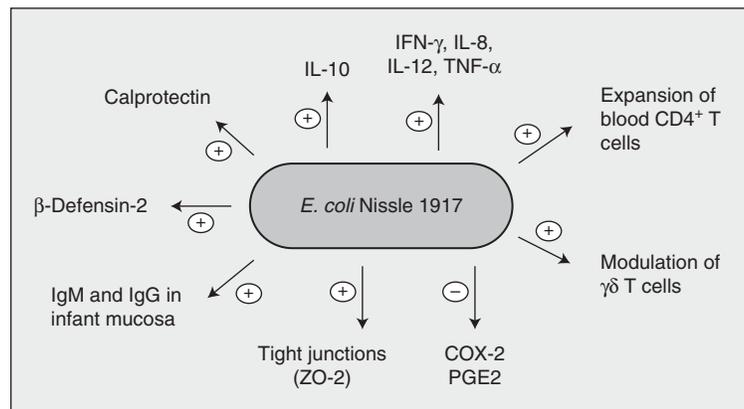
bacterium instead enhances the host cell-mediated response, leading to a modulation of the balance between both pro- and anti-inflammatory local cytokines (Fig. 2) (Cross et al. 2004; Ukena et al. 2005).

One of the mechanisms by which EcN limits intestinal inflammation while maintaining intestinal immunological homeostasis is through the induction of naïve and memory peripheral blood CD4<sup>+</sup>-T-cell clonal expansion without the activation of mucosal and lamina propria T cells (Fig. 2) (Sturm et al. 2005). EcN also increases the activation, cell cycle progression, and cytokine secretion of  $\gamma\delta$  T cells, which play an important role in the immune response to bacterial antigens (Fig. 2). Remarkably,  $\gamma\delta$ -T-cell activation is followed by apoptosis of these cells, probably as a way to limit intestinal inflammation (Guzy et al. 2008). EcN has also been shown to mitigate experimental colitis in mice while also reducing expression of the proinflammatory cytokines and interferon  $\gamma$  (IFN- $\gamma$ ), which are activated through Toll-like receptor 4 (TLR4) and TLR5 (Fig. 2) (Grabig et al. 2006). EcN administration can also induce systemic humoral immunity in infants as well as induce specific IgA and IgM antibodies in the mucosa (Fig. 2) (Cukrowska et al. 2002; Ouwehand et al. 2002).

The immunomodulatory effects of EcN have also been observed in colonic epithelial

cells, where both cell debris and cell extract fractions of EcN induced the secretion of the proinflammatory cytokine IL-8 (Lammers et al. 2002) while decreasing the expression of cyclooxygenase-2 (COX-2) and the secretion of prostaglandin E2 (PGE2), two molecules that have been implicated in colorectal carcinogenesis (Fig. 2) (Otte et al. 2009). Furthermore, EcN has been shown to be a potent activator of the antimicrobial peptide human  $\beta$ -defensin-2 through flagellin stimulation of NF- $\kappa$ B- and AP-1-mediated signaling, thereby enhancing the colonic epithelial chemical defense system (Fig. 2) (Fellermann and Stange 2001; Ganz 2003; Wehkamp et al. 2004; Splichal et al. 2005; Schlee et al. 2007). Another mechanism by which EcN enhances the mucosal barrier is through up-regulation of the tight junction-associated protein zonula occludens 2 (ZO-2) in intestinal epithelial cells (Fig. 2) (Schulze and Downward 2001; Ukena et al. 2007). Remarkably, EcN counteracted the reduced expression of ZO-2 resulting from enteropathogenic *E. coli* infection (Zyrek et al. 2007). Furthermore, oral administration of EcN to DSS-treated mice reduced loss of body weight and colon shortening and also conferred protection from the DSS colitis-associated increase in mucosal permeability to luminal substances (Ukena et al. 2007).

Taken together, these studies point to an immunomodulatory role for EcN, with a balanced



**Figure 2.** Immune modulation by *E. coli* Nissle 1917. The probiotic *E. coli* Nissle 1917 modulates the host immune system in multiple ways (summary of data from in vitro and in vivo experiments).

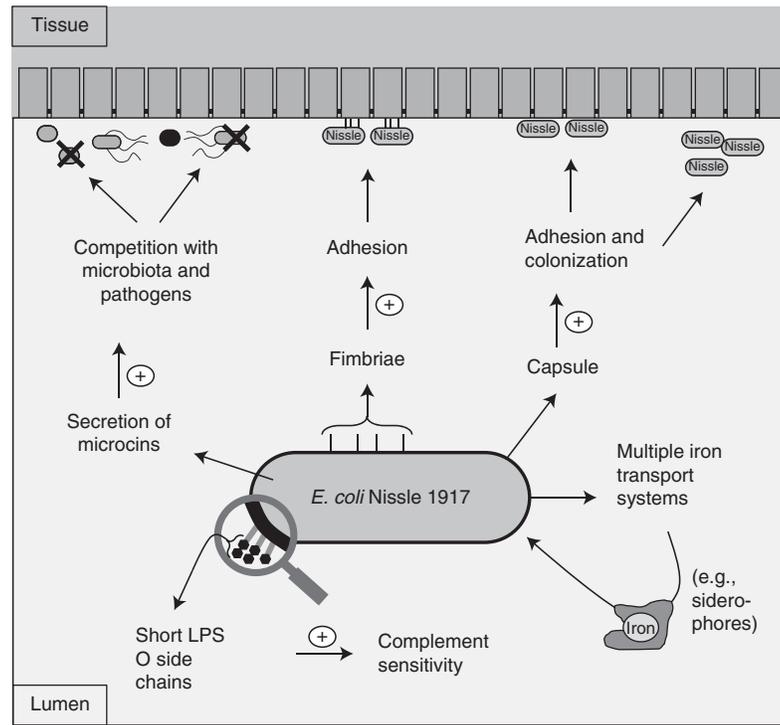
activation of the immune response counteracted by inactivation mechanisms. However, these studies do not explain the molecular mechanisms by which EcN modulates the immune response in vivo and ameliorates intestinal inflammation.

Important insight into the mechanisms of probiotic activity exhibited by EcN may be found by comparing its genome to those of other *E. coli* strains. Although all *E. coli* strains colonize the gut, differences in the genome content explain why some *E. coli* strains do not cause disease while others are pathogenic (Hacker and Kaper 2000; Hentschel and Hacker 2001). Many differentiating genetic determinants are acquired by horizontal gene transfer and often-times cluster on the chromosome in genomic islands that carry factors that enhance the fitness of a strain in a given environment (Kaper and Hacker 1999; Hacker and Carniel 2001). Therefore, horizontal gene transfer plays an important role in the adaptation of bacteria to specialized niches and may explain why EcN is a probiotic (Koonin et al. 2001). Indeed, EcN represents an excellent example of bacterial genome evolution within the pathogenic *E. coli* serotype O6 lineage. Although EcN serotype O6:K5:H1 is typical of *E. coli* strains associated with urinary tract infections, EcN is completely nonpathogenic (Gunzer et al. 2002). Although EcN lacks prominent virulence genes, it exhibits several fitness factors that contribute to its colonization efficiency and survival within the host (Fig. 3) (Reid et al. 2001; Sanders 2003). However, the contribution of many of the putative fitness factors that promote EcN colonization and survival in the intestine is not well understood.

Several putative fitness determinants of EcN are localized on four genomic islands that have been partially sequenced and analyzed. Comparative genomic hybridization studies with the available genomes of *E. coli* K-12 strain MG1655 and uropathogenic *E. coli* O6 strains CFT073 and 536 showed structural similarities on the genomic level and established that EcN is strongly related to the highly virulent uropathogenic strain CFT073 (Grozdanov et al. 2004; Vejborg et al. 2010). Some fitness factors encoded by both CFT073 and EcN are curli and both

type 1 and F1C fimbriae (Fig. 3). In particular, F1C fimbriae of EcN are required for biofilm formation, adherence to epithelial cells, intestinal colonization, and persistence in the gut of infant mice (Blum et al. 1995; Stentebjerg-Olesen et al. 1999; Lasaro et al. 2009). An important difference between EcN and CFT073 is in the structure of LPS (Fig. 3). A point mutation introducing a stop codon in the gene for the O6 antigen polymerase makes the O6 polysaccharide side chain very short, consisting of only a single “repeating unit” of the oligosaccharide building block typical of the O6 antigen. This peculiar characteristic is believed to contribute to EcN serum complement sensitivity and to be responsible for the semirough phenotypic aspect of the colonies grown on solid nutrient media (Fig. 3). This change could also play a role in the special immunomodulating properties exhibited by EcN, which is free of immunotoxic side effects in patients (Grozdanov et al. 2002). Another characteristic of EcN is the presence of an extracellular capsule of the K5 serotype, which is found in only 1% of *E. coli* isolates and is important for adhesion and colonization (Fig. 3) (Herias et al. 1997; Burns and Hull 1998). Despite this, and in contrast to other extraintestinal pathogenic capsule-forming *E. coli*, K5 does not contribute to serum resistance, and EcN is rapidly killed in the classic serum resistance test (Hughes et al. 1982). Moreover, the EcN K5 capsule was shown to stimulate TLR5 and to increase the induction of chemokines in both intestinal epithelial cells and ex vivo mouse small intestine (Hafez et al. 2009, 2010). Although the K5 capsule seems to play an important role in vitro, to date it is not known whether it contributes to the probiotic effect of EcN in vivo.

Probably the most striking feature of EcN's genome is the multiple mechanisms present to acquire the essential metal nutrient iron (Fig. 3) (Crosa et al. 2004). Most bacteria display an absolute requirement for iron, and its acquisition is generally difficult because of its low solubility and potential toxicity (Andrews and Schmidt 2007). Bacteria can survive in iron-limiting conditions using specialized iron transport mechanisms, which are usually induced by low



**Figure 3.** Fitness factors of *E. coli* Nissle 1917. The probiotic *E. coli* Nissle 1917 possesses multiple fitness factors that enable it to colonize the gut and compete with the resident microbiota and pathogenic bacteria.

iron availability and provide specificity for alternative sources of this metal (Skaar 2010). One of the mechanisms used by Enterobacteriaceae to acquire iron is to secrete small chelators termed siderophores. EcN produces several siderophores, including enterobactin, salmochelin, aerobactin, and yersiniabactin (Bäumler et al. 1998; Hantke et al. 2003; Valdebenito et al. 2006). Moreover, EcN has the hemin- and citrate-dependent iron acquisition systems, as well as the Iha siderophore receptor, which was initially identified as a putative nonfimbrial adherence-conferring molecule in the uropathogenic strain CFT073 (Tarr et al. 2000; Torres et al. 2001; Welch et al. 2002; Léveillé et al. 2006; Hancock et al. 2010). Besides the ferric iron uptake transporters, EcN also produces EfeU, an elemental ferrous iron uptake system of the oxidase-dependent iron transporters (OFeT) family, which is a homolog of the yeast iron permease Ftr1p. Notably, the *efeU* gene has been shown to be inactivated in *E. coli* K-12 by a

frameshift mutation (Grosse et al. 2006). When the mutation is repaired, EfeU is functional and alleviates iron starvation in a strain defective in all other iron transporters (Cao et al. 2007).

Overall, it appears that EcN has maintained the same redundancy in iron uptake systems as its closest relative, the uropathogenic strain CFT073. Although iron uptake promotes CFT073's colonization of the bladder and the kidney (Garcia et al. 2011), it is probable that the many specialized iron uptake systems in EcN contribute to its colonization of the intestine and promote competition for a niche with the resident microbiota (Crosa et al. 2004), particularly if the intestine is inflamed.

One feature of the inflammatory response is that it releases antimicrobial proteins that sequester metal ions to further limit their availability to pathogens, a process known as nutritional immunity (Kehl-Fie and Skaar 2010). One mechanism is the release of the antimicrobial proteins lipocalin-2 and calprotectin by

both epithelial cells and the neutrophils recruited to the site of infection. Lipocalin-2 binds to a subset of catecholate siderophores including enterochelin, the siderophore secreted by all Enterobacteriaceae to acquire iron, thereby limiting the growth of strains that rely on enterochelin as the sole scavenger of iron (Smith 2007). Calprotectin sequesters zinc and manganese, thereby limiting their availability and thus limiting the growth of sensitive bacteria (Kehl-Fie and Skaar 2010). Remarkably, *S. enterica* serovar Typhimurium (and likely other intestinal pathogens) is resistant to both lipocalin-2 and calprotectin. Resistance to lipocalin-2 is mediated by the siderophore salmochelin (Müller et al. 2009), a glycosylated enterochelin that is too big to fit in the lipocalin-2 binding pocket (Fischbach et al. 2006). A high-affinity zinc transporter, ZnuABC, is essential for zinc uptake when this element is limited and contributes to the low sensitivity to calprotectin exhibited by *S. Typhimurium* (Liu et al. 2012). It is thus not surprising that both lipocalin-2 and calprotectin provide this pathogen with an advantage in growing in the inflamed gut and competing with the microbiota (Raffatellu et al. 2009; Liu et al. 2012).

Although the mechanisms by which EcN ameliorates diarrhea are not completely understood, fitness factors enhancing its survival in the inflamed gut similar to those used by *S. Typhimurium* will likely play an important role. Notably, EcN was shown to induce a significant increase of calprotectin in the small intestine of gnotobiotic piglets. Contrary to this, calprotectin did not increase in the gut after infection with the nonpathogenic *E. coli* strain O86 or with the enteropathogenic *E. coli* strain O55 (Splichal et al. 2005), suggesting that calprotectin may play a role in the probiotic activity of EcN. Building on this, further studies are necessary to assess whether EcN colonization and its probiotic function are enhanced in the inflamed gut when antimicrobials like lipocalin-2 and calprotectin are highly expressed. In this scenario, high-affinity metal transporters may boost EcN colonization of the inflamed gut and may provide a means to compete for metals with other organisms, including pathogens.

Other factors that may help EcN to compete with bacteria in the gastrointestinal tract are the microcins MccH47 and MccM (Fig. 3). Microcins are low-molecular-weight antimicrobial peptides that, similar to bacteriocins of Gram-positive strains, display potent bactericidal activity against phylogenetically related bacteria that lack complementary immunity proteins (Baquero and Moreno 2006). MccH47 and MccM bind to the siderophore salmochelin and are taken up by catecholate siderophore receptors, thus exhibiting a “Trojan horse” mechanism of entry into strains (Patzer et al. 2003; Duquesne et al. 2007; Vassiliadis et al. 2010). In light of these observations, the MccH47 and MccM microcins may enhance EcN’s competition with the microbiota and bacterial pathogens. EcN was shown to inhibit human intestinal epithelial cell invasion of adherent and invasive *E. coli*, *S. Typhimurium*, *Yersinia enterocolitica*, *Shigella flexneri*, *Legionella pneumophila*, and *L. monocytogenes* (Boudeau et al. 2003; Altenhoefer et al. 2004). The mechanism of this inhibition is not known, but it is possible that at least in some conditions EcN secretes anti-invasive components that counteract pathogens, which likely include the MccH47 and MccM microcins (Baquero and Moreno 2006). Studies in animal models are needed to determine the contribution of metal transporters, microcins, and other factors to the probiotic effect of EcN.

## CONCLUDING REMARKS

Our understanding of the intestinal mucosal barrier function and its alteration in IBD patients has dramatically improved in recent years. In light of this, production of cytokines, enzymes, and other molecules by gut commensals in order to strengthen the mucosa has become a rapidly expanding field of research. Engineered probiotics like LAB are an excellent tool to deliver molecules directly to the mucosa without the side effects and shortcomings of systemic delivery. Notably, they are safe to use in humans and have been closely associated with humans for centuries. Moreover, those in use do not permanently colonize the gut and have been



engineered to be environmentally safe, which ensures a tightly controlled administration of the desired molecules. Because of this, there has been an expansion in clinical applications of LAB to deliver molecules. Some products, for example, the TFF-1-producing *L. lactis* mouthwash, are now in phase 2/3 clinical trials, and more will hopefully follow soon.

With the advent of deep sequencing, we have also gained new knowledge on the complexity of the microbiota that colonizes our intestine and its alterations in a variety of pathologies including IBD and infections. We now appreciate that inflammation shapes the microbial communities of the gut and that only the fittest survive in an inflamed environment. Intestinal pathogens like *S. Typhimurium* have acquired several mechanisms to thrive in the inflamed gut and compete with the microbiota. By understanding how pathogens survive in the inflamed gut, we can isolate or engineer probiotic strains that share similar traits to colonize the inflamed gut and compete with pathogens for a niche; EcN, which shares many fitness factors of intestinal pathogens, is a natural example of such probiotics. Moreover, the persistent residence of Gram-negative bacteria like EcN in the inflamed gut mucosa may make these organisms attractive candidates for molecule delivery when long-term colonization of relatively harsh host environments is desirable.

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